

EC-Invest

EC-INVEST

A Proven and Comprehensive Investment
Platform

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Excel
TECHNOLOGY

EC-INVEST - A Proven and Comprehensive Investment Platform

Banks and financial institutions empowered with EC-Invest will have peace of mind in expanding its wealth management business and at the same time, rendering quality service to its customers. EC-Invest, one of Excel's flagship products, is a widely-used and robust order fulfilment engine enabling effective distribution of a wide array of investment products across different delivery channels.

While accessing the Front-end Module, Relationship Managers and Account Executives are well equipped with an all-embracing yet simple set of functions including Order Initiation, Customer and Account Inquiry to serve their customers. Suitability assessment including compliance checking and flexible risk assessment questionnaire can be enabled during new customer and investment account creation or on an 'as-needed' basis. EC-Invest is fully regulatory and risk compliant for major countries in Asia.

The EC-Invest Back-end Module supports a number of investment product processing ranging from mutual funds trust, bonds, structured-products to banc-assurance. Mutual funds, both nominee and direct account (agent) mode of operation are fully supported. Events such as IPO Vs secondary market processing, regular investment plan, corporate actions, aggregation, maturity handling, interest payment distribution and early redemption will form part of the process flow depending on the intrinsic characteristics of each product. Alerts and reminders are aptly used throughout the system to assist users in the day-to-day operations.

Order initiation from EC-Invest Front-End or Back-End is a fundamental function, other adaptors for this function are also available to provide seamless support via the internet or mobile.

The design of EC-Invest adopts a customer-centricity approach, providing a holistic view of the customer experience with the financial organization. Multiple customer portfolios with filters available for effective analysis and reporting is easily configured in the system. Customer positions can be generated anytime with mark-to market valuation and profit-and-loss computation. Real-time consolidated customer portfolio and transaction enquiry is readily available. A rich set of customer correspondence encompassing contract notes, order forms, corporate action advices, and statements is available.

EC-Invest is multi-company, multi-currency, multi-language rendering flexibility to financial companies for quick deployment, locally and/or internationally.



Technical

EC-Invest is designed consists of 3 logical components:

- **Web Server:** The server component for accepting HTTP/HTTPS requests from client workstations (using Web Browsers), and serving them HTTP/HTTPS responses along with data contents.
- **Application Server:** The server component for hosting the EC-Invest application, Security Administration module and the report engine.
- **Database Server:** The server component for hosting the EC-Invest database.

The logical components can be grouped in a single server machine or spread over several machines for scalability, high availability and performance considerations. User workstation with internet browser installed can be used to access EC-Invest.